#### **MIT-Stanford-Berkeley Nanotechnology Forum**

#### Panel on Emerging Tools and Instrumentation Organizer: Scott Mize, MIT

#### Panel

- William A. Goddard III, Caltech
- Gerhard Goldbeck-Wood, Dir. Nanotech., Accelrys Maximilian Schroeck, Director, Agilent Ventures Lewis S. Gruber, CEO Arryx Lloyd Lacomb, VP Semiconductor products, Veeco





#### Who is William A. Goddard III?

#### Charles and Mary Ferkel Professor of Chemistry, Materials Science, and Applied Physics Director, Materials and Process Simulation Center, Beckman Institute California Institute of Technology, Pasadena, California 91125 [http://www.wag.caltech.edu]

- 110 PhD's, 550 papers, Member of the National Academy of Science (1984) 12 patents filed in protein structure prediction, drug design, new polymerization catalysts, semiconducting processing modeling,  $H_2$  storage.
- Co-founded 7 companies (all started in Pasadena and still thriving)

AD WEREAK

- Molecular Simulations Inc (1984) now named Accelrys and located in San Diego. molecular dynamics and graphics tools for design of drug and materials, ~360 emp. Schrodinger Inc (1990). Now in NY city and Portland. Quantum mechanics and drug design tools , 65 emp.
- Systine Inc (2000): nanoscale etching tools using low energy electrons, 4 emp. Eidogen Inc (2000): tools for protein drug targets and leads based on structure from bioinformatics, 24 emp.
- Allozyne Inc (2004): therapeutics based on non-natural amino acids (1 emp) Qateomix Inc. (2004): Rapid prototyping and IP for catalysts with integrated theory and experiment (1 emp).

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#### **QUESTION #1: Is Nanotechnology Practical?**

- When I started working on nanotechnology In 1985, it seemed impossible that experimentalists would ever be able to make the designs that we might come up with
- With the very impressive progress in synthesizing and testing nanoscale systems at UCLA, Harvard, MIT, Stanford, Rice, Caltech and elsewhere, I now believe that it will be possible to make practical nanoscale devices and fully expect to see demonstrations before I retire from Caltech.





#### **Question #2: What tools are needed?**

#### I will give some examples from research we are currently carrying out on nanotechnology

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# QUESTION#3: Where should Funding and investment be directed

Major funding should be dedicated to developing tools deemed essential to demonstrations of the emerging technology to enable small scale manufacturing of functional devices (objective is function not cost)

As systems are discovered with unique functionality, some will provide solutions that can justify the higher costs using current technology, providing a means for the increased production that eventually will lower the costs to acheiving practical nanotechnology





#### **Molecular Based Nanotechnology**

#### **Central Issues**

- **Design** (nanofuelcell, nanoelectronics, analog to digital convert)
- Synthesis (need automated self-assembly since cannot see)
- Characterization, Optimization
- Fabrication (Reproduction)
- Communication (getting info to and from nanodevice)
- Properties (sensing environment, tribology,)

#### **Paradigms For Synthesis**

- Mech-atomical [Mechanical Positioning Atoms (AFM, STM)]
- Self-assembly (Monolayers)
- Chemical (Use Living Catalysts at Specified Sites)
- **Biological** (Use apparatus of living cells to make components but maybe not to assembly the system)
- **Critical Long-term Problems** 
  - Communication (info to and from nanodevice)
  - Error Detection and Error Correction (Proofreading)
  - Reproduction (Self Replication, The Assembler)

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#### Nanodevices using novel Polymer synthesis techniques



**DDARD** Oren Scherman and Bob Grubbs, 2004

larch 25, 2004

#### **Essential to Combine Experiment and Theory**

- **Opportunity**: Tremendous potential for new functional materials (artificial machines smaller than cells)
- Problems:
  - Synthesis
  - Characterization
  - Design
- In each area there are tremendous experimental challenges.
- In each case the time to solution will be dramatically decreased by the use of

First principles (de novo) simulations

Multiscale Modeling that couples the time and length scales from electrons and atoms to manufacturing



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#### Essential Tools for Theory and computation Multiscale 1st Principles Design of Materials

Base simulations of large scale systems on First Principles (QM) Allows Design of new materials and drugs (predict hard to measure properties) Must connect QM to Design through an overlapping hierarchy of methods



#### **Method Developments at MSC Relevant for Nanotechnology**

#### **Quantum Mechanics**

- Solvation (Poisson-Boltzmann)
- Periodic Systems (Gaussians)
- New Functionals DFT (bond breaking)
- Quantum Monte Carlo methods
- Time Dependent DFT (optical spectra)

#### **Force Fields**

- Polarizable, Charge Transfer
- Describe Chemical Reactions
- Describe Phase Transitions
- Mixed Metal, Ceramic, Polymer MesoScale Dynamics
- Coarse Grained FF
- Kinetic Monte Carlo (Gas Diffusion)
- Hybrid MD and Meso Dynamics
- Tribology
- **Utilization**:
- Integrated, Python-based

#### **Molecular Dynamics**

- Non-Equilibrium Dynamics
  - Viscosity, rheology
  - Thermal Conductivity
- Solvation Forces (continuum Solv)
  - surface tension, contact angles
- Hybrid QM/MD
- Plasticity
  - Formation Twins, Dislocations
  - Crack Initiation
- Interfacial Energies
- Reaction Kinetics
- Free energies

#### **Biological Predictions**

- MembStruck: structure GPCR
   proteins
- HierDock: Binding Site/Energy Ligands
- STRUCTFAST: Homology Methods
- **Process Simulation** 
  - Vapor-Liquid Equilibria



This provides an idea of where improvements are being made in multiscale simulation methodology to allow new applications



# **Applications Focus at the MSC/Caltech**

NANOSYSTEMS: Nanoelectronics, Devices based on Carbon Nanotubes DNA BIOTECHNOLGY: Membrane Proteins (GPCR), non-natural Amino Acids, Pharma (VLS) POLYMERS: PEM (Nafion), Dendrimers, Gas diffusion, Surface Tension, Biobased CATALYSTS: Methane Activation, Selective Oxidation, ElectroCat (O<sub>2</sub>), Polar Olefins SEMICONDUCTORS: Dielectric Breakdown, Si/SiO<sub>2</sub>/Si<sub>3</sub>N<sub>4</sub> interfaces, B diffusion CERAMICS: Ferroelectrics, Zeolites, Exfoliation Clays METAL ALLOYS: Glass Formation, Plasticity (dislocations, crack propagation, spall) ENVIRONMENTAL: Dendrimers for Selective Encapsulation, Humic acid

**INDUSTRIAL APPLICATIONS** (GM-GAPC, ChevronTexaco, GM-R&D, Asahi Kasei, Toray)

**Polymers: Gas Diffusion, Surface Tension Modification, Water solubility Polymerization Catalysts for Polar Monomers** 

Catalysts: CH<sub>4</sub> activation, Alkylation phenols, zeolites (Acid sites/templates) Semiconductors: Dielectric Breakdown nanometer oxides, nitrides, B Diffusion in Si Automobile Engines: Wear Inhibitors (iron and aluminum based engines) Oil Pipelines: Inhibitors for Corrosion, Scale, Wax; Hydrates, Demulsifiers

Oil Fields: Surfactants for low water/oil interface energy, Basin models

**Ceramics: Bragg Reflection Gratings** 

**Catalysts: ammoxidation of propane** 

Fuel Cells: H, Storage, Polymer Electrolyte Membranes, Electrocatalysis



This provides an idea of the breadth of applications accessible with the emerging multiscale simulation technologies



#### Stimulation toward solving impossible problems Collaborations with Industry

- **GM advanced propulsion: Fuel Cells** (store H<sub>2</sub>, membrane, cathode)
- Chevron Corporation: CH<sub>4</sub> to CH<sub>3</sub>OH, Alkylation, Wax Inhibition/oil pipeline
- General Motors Wear inhibition in Aluminum engines
- Seiko-Epson: Dielectric Breakdown in nm oxide films, TED (B/Si)
- Asahi Kasei: Ammoxidation Catalysis, polymer properties
- Berlex Biopharma: Structures and Function of CCR1 and CCR5 (GPCRs)
- Aventis Pharma: Structures and Function of GPCRs

#### Previous

- Asahi Glass: Fluorinated Polymers and Ceramics
- Avery-Dennison: Nanocomposites for computer screens Adhesives, Catalysis
- BP Ámoco: Heterogeneous Catalysis (alkanes to chemicals, EO)
- Dow Chemical: Microstructure copolymers, Catalysis polymerize polar olefins
- Exxon Corporation: Catalysis (Reforming to obtain High cetane diesel fuel)
- Hughes Satellites/Raytheon: Carbon Based MEMS
- Hughes Research Labs: Hg Compounds for HgCdTe from MOMBE
- Kellogg: Carbohydrates/sugars (corn flakes) Structures, water content
- MMM: Surface Tension and structure of polymers
- Nippon Steel: CO + H<sub>2</sub> to CH<sub>3</sub>OH over metal catalysts
- Owens-Corning: Fiberglas (coupling of matrix to fiber)
- Saudi Aramco: Demulsifiers, Asphaltenes

Each project (3 Years) supports full time postdoc and part of a senior scientist



This provides an idea of those applications being funded by industry that use multiscale simulation technologies



#### Nanotechnology applications demand many scales to combined seamlessly

#### To do this we are developing the Computational Materials Design Facility

Create an integrated, component-oriented toolset combining existing simulation software technology in novel ways Develop multi-scale techniques that automatically span length and time scales, in a grid-aware environment Rapid prototyping and development Quickly assay materials properties simulation results Rank the success of the simulations, and Visualize the most promising candidates

Funded by DARPA (Carey Schwartz)





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#### **CMDF: Software Architecture Component Structures**

**Engine**: abstract interface to existing computational code can be command line or library/function call Driver: abstract taskoriented methodology: make the engine 'do' something; e.g., traversing length scales Model: a 'state' variable including structure, energy, phase, grain interface, etc





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#### **CMDF software framework**

- Developing methods
- Software to organize and automate multiscale simulations
- Capture, store, manipulate simulation results in a scale-agnostic manner
- Create a framework and toolkit to develop multi-scale applications
- Provide that framework/toolkit and methods to the community

Developed 'ModSim', MD code with modularity and ease of development Using SWIG, we have created a python interface to the main programming routines, Swig generates 'glue' between library functions in c/c++ and common scripting languages (python, perl, tcl, ruby, etc), Nearly automatic generation of interfaces

- Replaced the 'c' main loop of MPSim with a python loop, then as a further step recoded the c MD loop and force summation step in python with **no noticeable overhead** (<< 1%)
- Allows easy GUI development,
- more control over program, easy



development DARD/Caltech Sta



#### **CMDF Full-physics multi-scale modeling**



#### **MSC Projects in Nanotechnology**

- **DNA machines:** collaboration with Ned Seeman (NYU) and Erik Winfree (CS/Caltech)
- **Molecular Switches:** collaboration with Fraser Stoddart (UCLA) and Jim Heath (Chem/Caltech)
- **Molecular electronics:** high mobility organics, proton switches
- **Simulation of AFM Imaging:** collaboration with Pat Collier (Chem/Caltech) and Steve Quake (APh/Caltech)



#### **AFM Simulation Methods: MD and AFM Dynamics**

Santiago Solares, Ian Shapiro, Maria Jose Esplandiu, Larry Wade, Yuki Matsuda, Stephen Quake,

Pat Collier and William A. Goddard III



Experiments

- A. TEM image of a SWNT tip attached to Si support tip
- B. Close-up of SWNT tip showing dimensions
- C. AFM image of a carbon NT using above probe
- D. Cross-section AFM trace at location in figure C



#### **New Materials for Organic Electronics**

Current material of choice for molecular electronics: Pentacene Films lead to hole mobilities of 3 to 7 cm<sup>2</sup>/V sec (most accurate ~ 5) We developed an incoherent transport model and calculate a hole mobility of 6.5 cm<sup>2</sup>/Vs for pentacene crystals at 300K. In reasonable agreement with experiment We find that an alternative packing into a crystal should lead to a hole mobility of 15.2 cm<sup>2</sup>/Vs, suggesting that pentacene might still be improved by a factor of ~3. Considering a variety of alternative materials we find that bracelets of aromatics can lead to hole mobilities of 50 cm<sup>2</sup>/Vs or more are possible.



#### **Nanoelectronics**

Many experimental efforts to make nanoscale electronic devices based on molecules sandwiched between conducting surfaces (e.g., Jim Heath/UCLA-Caltech, Charley Lieber/Harvard, Jan Schön/Lucent, Phaedon Avouris/IBM)

This could be most useful. For example a future MEMS-scale device (say 20 microns in size) might have an onboard computer based on nanometer sized elements with built in sensors and logic to respond to local environment without the necessity of communicating to remote computer.

Thus to be useful the nanosized switches need not be as fast as current computer elements (GHz). They could be even as slow as KHz and still be useful.

Unfortunately little is known about the atomic-level structure and properties of these nanoelectronics systems, making difficult the design of improved devices.



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#### **Atomic level simulation of Current/Voltage**



# **Shapes of Molecular orbitals (MOs) TTF, OFF** Naphthyl, ON LUMO HOM HOMO LUMQ Nearly degenerate, Big gap not coupled. thus strongly coupled. ~insulating ~metallic

Theory provides the underlying mechanism explaining performance, now use for design

KITO

# Research plan: Rapid Prototyping using theory to optimize molecules for devices



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Design of a Rotary nanomotor

Stoddart (UCLA) has demonstrated a 2-state molecule but cannot control the direction of movement. Thus cannot make a motor

stimulus A

Caltech designed a rotary nano-motor using components similar to the UCLA switch. Features: Three different groups in outer ring with different oxidation potentials, two identical groups in the inner ring. The oxidation potentials of groups A,B are v1,v2 The inner ring will rotate clockwise



## **Under testing (theory)**

key design issues choosing A B C D groups



The calculations will determine the maximum switching speed and resonant frequencies to be avoided. Also the theory will be used to determine the right components for convenient redox potentials



# **Opto-mechanical Muscles**



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#### So far have talked about software tools

New tools for experimental synthesis and characterization are also critical to timely advances in nanotechnology.

I will summarize just the one case I have been involved in.

Traditional dry etching techniques use ions to blast of the Si and other atoms on a surface. This leads to significant surface damage and rough surfaces. We have been working on ways to use electrons to tickle off the surface atoms (chemisrty, putting the electrons in antibonding orbitals to break the bonds) rahter than physically blasting the atoms off with ions.

The intellectual leadership has been due to Pat Gillis who has taken a leave from UCLA to commercial his inventions.





Dry etching techniques using ions create significant surface damage and at 90nm this damage creates severe quality problems.

These techniques probably cannot be extended to 70 nm.

Gradina incorporates the revolutionary new low energy enhanced etching (LEEE) technology invented by Pat Gillis (UCLA). This has demonstrated damage free etching down to 20 nm with etching rates similar to ions

#### The Gradina Tool for Nanoelectronics

#### **MOORE'S LAW Schedule for Si Devices**



#### Low Energy Electron Enhanced Etching (LE4):

Gillis, Chamberlain, Clemons, JVST. B10, 2927 (1992)

•Use Low Energy Electrons from Gas Discharge to *Chemically* etch the atoms from the surface (contrast with Ion Etching that *Physically* knocks off the atoms)

- •Avoids damage by eliminating ion bombardment
- Proceeds via electronic excitations at surface
- Surface atoms removed by reaction product desorption
- Driven by material specific energy thresholds



## PROBLEMS WITH ION-ENHANCED ETCHING

# Damage Permeates Mesa Poor Interfaces in Recesses Image Image Key Layer Image Image

## **Solution: Design a Damage-Free Etch Process**

- > Avoid ion-enhanced chemistry to eliminate lattice damage
- Use low-energy electron enhanced chemistry to achieve anisotropy

#### Low-Energy Electron Enhanced Etching (LE4)

- Electron flux at energy below 100 eV
- Reactant flux at thermal velocities

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#### **Etching in a DC Plasma**

Gillis, Choutov, Martin, et al. Appl. Phys. Lett. 66, 2475 (1995)



#### Gradina<sup>™</sup> Competitive Advantages for GaN & Other **Compound Semiconductors**

(High Yield) x (High Throughput) = High Margins



#### **INCREASES THROUGHPUT**

GaN/AIN/SiC Layered



LE4 Rate: 300 nm / min vs. FCR Rate: 100 nm/ min



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#### Formation of Biologically Derived Mask



## 20-nm Si Structures via GRADINA



Basic etch science demonstrated for next 3 generations of Moore's Law The rest is engineering: scale up GRADINA for 12-in wafers



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#### **Systine Inc.**

Incorporated (in Md) to commercialize the LEEE technology to produce the Gradina Advanced Electron Etching tool

- Located in Pasadena CA.
- Cofounders Pat Gillis (UCLA), Samir Janz (CalPoly), Bill Goddard (Caltech) plus 3 faculty at Georgia Tech, and North Carolina State Univ. Early support by SBIR grants plus founder funds.
- Have developed and demonstrated prototypes for GaN, GaAs, InP, and Si.
- Now seeking a Series A round to rapidly transition from protypes to production of research instruments needed to qualify Gradina for production





**Support people**: ARO **ONR** NIH **NSF** DARPA DOE ASCI DOE FETL ChevronTexaco Seiko-Epson **General Motors** Asahi Kasei **Beckman** Institute **Facilities (computers)** DARPA DURIP **NSF MRI IBM SUR** Dell **Software** Schrodinger



Accelrys (MSI) William Goddard PhD (Engr. Sci. 1965,Caltech) advisor: Pol Duwez Pol Duwez DSc (1933, Brussels) advisor: Emile Henriot Emile Henriot DSc (Phys, 1912, Sorbonne, Paris) advisor: Marie Curie Marie Curie DSc (1903, Ecole Phys. Chim. Ind, Paris) advisor: Becquerel

# Illustration of using Multiscale modeling to explain a problem in Ultrashallow junction fabrication





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#### What are the issues?





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#### **Multiscale modeling and simulation**

Technological Problem: Transient Enhanced Diffusion. Get long range tail. Made worse by pronounced shoulder What causes it?

We found that it is due to a combination of Bs-Sil migration + BsB migration



#### Critical discovery from QM: a pathway for diffusion of B dimers



#### Kinetic Monte Carlo simulation (C<sub>B</sub>=C<sub>si</sub>=10<sup>19</sup> cm<sup>-3</sup>, T=900 K)



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#### **Diffusion profile evolution: experimental vs. simulation**

Multiscale simulation provides quantitative agreement with experimental depth profiles. Thus can use theory to predict distributions for various configurations of the microdevice and various annealing protocols



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